## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Alex Behfar et al	)
Application No.: To Be Assigned (Div. of 09/955,123)	) Group Art Unit )
Filed: Even date herewith	) Examiner
For: MONOLITHIC THREE-DIMENSIONAL STRUCTURES	) ) )
	)

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the provisions of 37 CRF 1.97 and 1.98, applicant hereby makes of record the documents listed on the attached PTO form 1449. A copy of each document may be found in parent application No. 09/955,123, now pending in Group Art Unit 2823.

Respectfully submitted,

JONES, TULLAR & COOPER, P.C.

George M. Cooper

Reg/No. 20,201

JONES, TULLAR & COOPER, P.C. P.O. Box 2266 Eads Station Arlington, VA 22202 (703) 415-1500 July 17, 2003

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Substitute for form 1449A/PTO				Complete if Known		
	0014471011	_	001 001105	Application Number	T.B.A.	
INFORMATION DISCLOSURE				Filing Date	Even Date Herewith	
STATEMENT BY APPLICANT		First Named Inventor	Alex Behfar			
			A-6.77	Group Art Unit	2812	
(use as many sheets as necessary)		Examiner Name				
Sheet	1	of	1	Attorney Docket Number	BIN-5/DIV	

				U.S. PATENT DOCL	IMENTS	-
Examiner Initials	Cite No.1	U.S. Patent I	Oocument  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,114,257		Bellavance	09/19/1978	
		4,124,270		Cheo	11/07/1978	
		4,851,368		Abbas Behfar-Rad et al	07/25/1992	
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		5,741,624		Jeng et al	04/1998	
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		5,824,581		Tseng	10/20/1998	
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		6,384,971		Faris	05/07/2002	
		6,465,157		Li et al	10/2002	
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				FORE	IGN PATENT DOCUMENT	rs		
Examiner Initials*		Foreign Patent Document Kind Code <sup>5</sup>			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	T6
Initials	140.	Office <sup>3</sup>	Number	(if known)	r (	MM-DD-YYYY	Figures Appear	1 '
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<sup>1</sup> Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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Substit	ute for form 1449B/PTO	Complete if Known			
INE	OPMATION DISCLOSURE	Application Number	TBA		
	ORMATION DISCLOSURE	Filing Date	Even Date Herewith		
STATEMENT BY APPLICANT		First Named Inventor	Alex Behfar		
		Group Art Unit	2812		
	(use as many sheets as necessary)	Examiner Name			
Sheet	1 of 0	Attorney Docket Number	BIN-5/DIV		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T2
		BEHAFAR-RAD, a.; BALLANTYNE, J.M.; WONG, S.S., "AlGaAs/GaAs-based triangular-shaped ring ridge lasers," Applied Physics Letters, American Institute of Physics. Vol. 60 (No. 14), p. 1658-1660. (April 6, 1992).  BEHFAR-RAD, A.; WONG, S.S., "Monolithic AlGaAs-GaAs Single Quantum-Well Ridge Lasers Fabricated with Dry-Etched Facets and Ridges," Journal of Quantum Electronics, IEEE, Vol. 28 (No. 5), p. 1227-1231. (May 23, 1992)	X
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

